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| **Pressrelease ENG**  GUIDELINES FOR MONITORING AND ANALYZING SOCIO-ECONOMIC IMPACT OF COVID-19  UNICEF Nepal |

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**I. INTRODUCTION**

The purpose of this operational plan is to provide guidelines for gathering evidence on socio-economic impacts of COVID-19 on children and their families. The guidelines include selection of target population, ethics and quality assurance procedures and methods for collecting and analyzing data.

The key issue this document highlights is the importance of appropriate approach to gathering information from target groups, especially children, from vulnerable and marginalized populations. Any effort in gathering evidence should be accompanied with precautionary measures and based on ‘do no harm’ principle.

*Why is there a need for evidence on socio-economic impacts of COVID-19 on children?*

Measures to stop the spread of COVID-19 have had immediate adverse effects on the global economy and socio-psychological wellbeing of people. Globally, 25 million people might lose their jobs[[1]](#footnote-1) as the gap between supply and demand continues to grow and it is unclear when the situation will stabilize. That the number of cases and deaths is growing indicates that more serious measures should be utilized to respond to the needs of children, prevent and mitigate impacts of COVID-19 on their healthy development.

The economic impact of the pandemic directly affects children, especially those who live in abusive households, single-headed households, and whose parents are migrants, self-employed and depend on daily wages. Particularly, children with disabilities, and those from vulnerable and marginalized populations and who are raised by elderly may be hit the hardest by the current crisis.

The strict measures which Nepal has taken, while beneficial in containing the virus, may result in negative social and economic consequences such as:

* Increased food prices and shortage of food supplies that will ultimately lead to food insecurity among the economically disadvantaged households
* Disruptions in public health systems and limited access to health care services
* Prolonged unemployment in the formal and informal sectors, and increased poverty among the population
* School closures and interrupted learning, which deprive most children of safe zones and nutrition, and could lead to child labour and child marriage.

Moreover, global economic impact of the pandemic has halted employment for many migrants from Nepal. Over half a million[[2]](#footnote-2) of Nepali migrants may be at risk of losing their jobs.

Disruptions in social and economic sectors are most likely to increase vulnerability of children to multi-dimensional poverty. Children below the age of 10 represent the poorest sub-group, 23 % of the population live in female-headed households; 40 % of the population is deprived of sanitation and 75% of is deprived of cooking fuel.[[3]](#footnote-3) These numbers indicate possible size of the population in-need in case of prolonged lockdown and health impact of the pandemic. Accordingly, this plan provides a framework of evidence generation approach and methods to assess the situation of children and their families.

**II. Purpose and objectives**

The purpose of gathering evidence on social and economic impacts of COVID-19 is to assess the situation of households and contribute to reducing negative effects of the pandemic. Specific objectives of this evidence generation project are:

* To monitor the social and economic impacts of the pandemic on households with children
* To assess the situation and immediate needs of children and caregivers
* To identify the most vulnerable and marginalized groups who were affected by COVID-19

The data collection process will involve raising awareness on COVID-19 and responding to reported cases of violence and child protection by households through sharing useful sources of information on COVID-19, counseling centres and help centers.

The results of this project are monitoring and analytical reports which will clearly illuminate the issues of families with children and pave the way for evidence-based advocacy, resource mobilization and response. Please see Annex I: Theory of Change of this project.

Main audience of the project results are the Government of Nepal, Development Partners and other key stakeholders. Implementing partners who will be engaged in gathering data are Sharecast Initiative, a media broadcasting organization and VIAMO, tech-company. Sharecast Initiative will interview households through telephones and collaborate with UNICEF on advocacy activities. VIAMO will provide technical support in gathering additional data through interactive voice responses (IVR).

**III. INDICATORS**

Indicators are tailored based on expected impacts of COVID-19 on socio-economic situation of families with children. A mix of objective, process and perception-based indicators are developed for the purposes of gathering relevant information that will inform UNICEF and partners about children and help in preparing informed-based response to their needs.

Table 1. Key Indicators for Monitoring and Analysis

|  |  |
| --- | --- |
| OUTCOME AREAS | Indicators |
| SOCIAL PROTECTION  To assess the situation and estimate number of families with children; female headed households with children; households who have family members with disabilities. | % of families from endangered ethnic groups and who were affected by COVID |
| % of female headed households who were affected by COVID |
| % of families with persons with disabilities were affected by COVID |
| INCOME  To estimate the proportion of economically vulnerable households with children | % of households which have at least one member who lost a job |
| CHILD PROTECTION  To assess the situation of children staying at home due to the pandemic. Has COVID 19 resulted in a change in children's routine? Are there things they do more? Are there differences bas on the child's age? Gender? Is domestic work being re-distributed in families? Do some members have more responsibilities than the used to? | % of child's time spent on household chores  % of child's time spent studying/reading  % of child's time spent playing  % of child's time spent sleeping  % of child's time spend on-screen  % of children studying remotely  % of children showing psychological distress |
| HEALTH  To assess the situation of households in terms of health care needs for children and pregnant women. How are pregnant women availing regular health services in the current lockdown situation? What are some of the issues that they are facing? Are deliveries happening at home? | % of households who need medical supplies for children  % of pregnant women who need health care support |
| NUTRITION  To assess the situation of children who need supplementary food and are at risk of being malnourished. | % of lactating women who need supplementary food for their children  % of households who had to reduce number of meals they give to their children  # of caregivers of children aged 0-23 months reached with messages aiming to protect breastfeeding in the context of COVID through national communication campaigns |
| WASH  To assess vulnerability of households to sickness due to lack of hygiene products; to assess vulnerability of girls and women due to absence of sanitary pads. | % of households who need hygiene products  % of households who need sanitary pad |

\* Please see [COVID-19 Monitoring Matrix](https://unicef.sharepoint.com/teams/NPL-2019nCoV) for frequency of monitoring and means of verification.

**IV. DATA COLLECTION METHODS AND ANALYSIS**

The data collection methods and results will be used for monitoring and producing analytical briefs about the situation of children. For this reason, the data collection methods will be mixed and robust:

**1. Telephonic interviews** (Annex II) with selected households for longitudinal study and monitoring. The section on sampling below illustrates the number of households. Data will be collected once a month for 6 months. In the first round, households with children will be identified and selected for follow up calls every month until the end of the study. The interview questions will help to gather information on demographic profile of households and their socio-economic situation.

Demographic profile of households will be recorded in the database which will be used to randomly select respondents for follow up surveys (Annex III).

**2**. **Telephonic interviews** (Annex IV) with female health workers in selected districts.

**3. Interactive Voice Response** (IVR) messages to randomly selected households within the sampled population. IVR messages will be sent to 40-50 selected households from the database. The respondents will be asked basic situational questions and their answers will be used in triangulation of the telephonic data. Annex V illustrated the first round of IVR questions, and the nature of questions will change depending on evolving situation.

*Data analysis*

Data will be disaggregated by castes, age, location and sex of respondents. With information on the households with persons with disabilities, with members who lost income and those headed by females, the data analysis will also cover gender, equity and human rights focused issues. Data analysis will be based on robust qualitative and quantitative methods. Specifically, the data analysis will be conducted using:

1. Tabulation of all indicators showing frequency and cumulative frequency – dashboard infographic
2. Mapping of select indicators (Geospatial mapping)
3. Cross-tabulations and regression analysis
4. Triangulation with other data (MICS, DHS, Baseline of Palikas and etc.

Qualitative data collected through the telephonic interviews will be analyzed using software programmes such as NVivo or Atlas.ti. SPSS and Python will be used to analyze quantitative data.

**Table 2. Overview of Descriptive Statistics and Cross-tabulations**

|  |  |
| --- | --- |
| *Data disaggregation by sex, income, type of employment, location, caste and age* | |
| **Vulnerable Population** | |
| % of persons with a disability who were affected by COVID-19 | **Correlation analysis of COVID’s impact on psychological wellbeing of children**   * Households with members who have disability are more likely to experience socially negative impacts of COVID-19 * Female headed households and marginalized groups with children are more likely to lose food security; lose access to health services; have no access to information; not to be able to meet education needs of their children. |
| % of female headed households affected by COVID-19 |
| % of households from marginalized groups affected by COVID-19 |
| **AWARENESS** | |
| % of households aware of COVID-19 | **Awareness and fear**   * Households without access to information are more likely to experience fear of contamination * Breastfeeding women without access to information are more likely to stop breastfeeding |
| % of households with correct hygiene practices to prevent COVID-19 |
| # of caregivers of children aged 0-23 months reached with messages aiming to protect breastfeeding in the context of COVID through national communication campaigns |
| **ASSESS, EMPLOYMENT AND NEEDS** | |
| % of households who lost earnings and business due to COVID-19 | **Lost assets, employment and access to social protection**   * Households with unemployed members are more likely to experience food insecurity; reduce meals; children are more likely to experience psychological issues and lose access to education. * Recipients of social security grants who lost access to cash are more likely to reduce meals and experience food insecurity. |
| % of household who lost food security |
| % of negatively affected social security recipients |
| **EDUCATION** | |
| % of children who lost access to education | **COVID’s impact on education of children**   * Children from marginalized groups and low-income households are more likely to lose access to education; are more likely to face difficulties to continue studying * Children who discontinued studying are more likely to be engaged in household work or helping their families earn income. |
| **CHILD PROTECTION AND MENTAL HEALTH** | |
| % of children experiencing psychological issues  % of children heling with the household chores  % of children helping their families earn income | **COVID’s impact on psychological wellbeing of children**   * Children from vulnerable groups and low-income households are more likely to be engaged in household work; are more likely to help their families earn income; are more likely to experience psychological issues * Correlation between gender dynamics of COVID’s impact on psychological wellbeing of children * Impacts of COVID on psychological wellbeing of children with a disability * Children who have no opportunity to continue studying online are more likely to experience distress * Children studying more/less and experience more/less psychological issues. |
| **NUTRITION, HEALTH and CARE SEEKING BEHAVIOUR** | |
| % of households who had to reduce meals for children  % of mothers who stopped breastfeeding due to COVID-19  % of breastfeeding mothers who reduced meals  % of pregnant women who lost access to health services  % of pregnant women delivering at homes due to fear of contamination | **Impacts of COVID-19 on nutritional and health situation of children and women**   * Nutrition of children and breastfeeding mothers in low income households and marginalized groups are more likely to be negatively affected * Pregnant women in low-income households and marginalized groups are more likely to lose access to health services. |

**V. SAMPLING**

The population sampling for telephonic interviews is composed of three stages:

1. **Grid sampling** for selecting target locations and ensuring representatives of the population. Existing databases[[4]](#footnote-4) with telephone numbers will be used to select households with children below the age of 18. A household with children is the only criterion we will use for selecting households.

Grid based sampling approach will ensure geographic coverage throughout Nepal. A selection of 250 wards (out of 6744) was made by randomly selecting wards with each grid of the map of Nepal through distance and density optimization algorithms. The selected number of wards is expected to be statistically robust at the 10% margin of error and includes 50 wards for replacement purposes (in case of majority non-response from small wards) or for further expansion of coverage of the survey.

Within each ward in Nepal, it is expected there are about 1,500 children. Given this, it is recommended that 300 children be sampled from each selected ward (to get a reasonable margin of error). This brings the total sample size to 300\*20=6000 (or 300\*25=7500). The map below shows the locations of wards where households will be sampled:

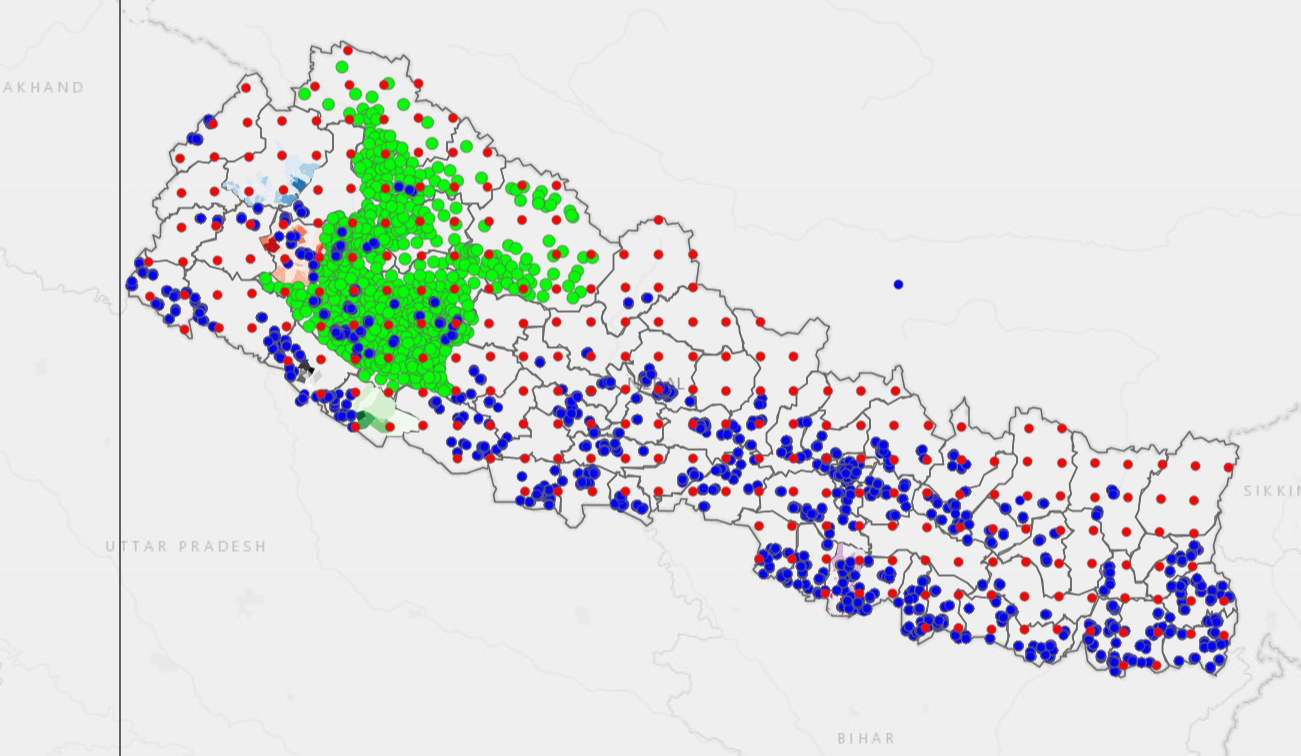
**2. Simple random sampling** of telephone numbers of 7,500 households from selected locations in the database.

Figure 1: Map of Nepal. Red dots =grids; blue dots=locations of wards based on SCIN database; green dots =locations of ward secretaries based on UNICEF database.

**3. Purposive sampling will complete the sampling of household.** During the first round of the survey, interviewers will continue sampling through identifying households with children and subsequently registering them as participants based on informed consent.

All selected households with children will be registered in a special database intended to be used specifically for this project.

For IVRs, households from the database will be randomly selected after completion of telephonic interviews. For 6 months, 1,500 out of 7,500 sampled households are planned to be contacted through IVRs with 7-8 questions every month until the end of the project. Contacted households will be marked and skipped in sampling for the next round.

**VI. Ethics and Quality Assurance**

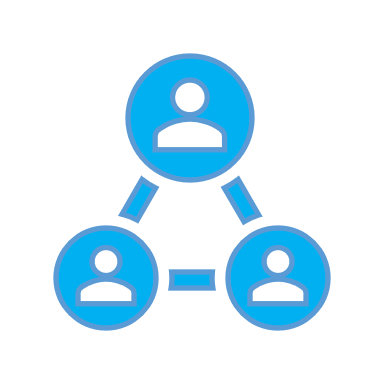
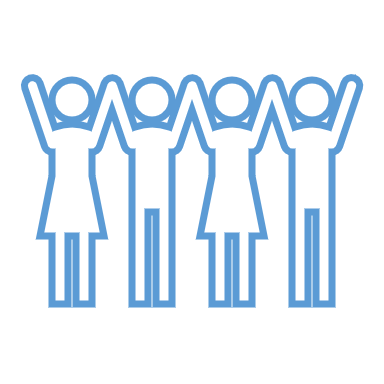
*Ethics*

The interaction with participants should be based on ‘do no harm’ principle. Please see Annex VI ethics procedures to be followed in this project.

*Quality assurance*

Success of data collection depends substantially on the planning, piloting and testing the data collection tools, including careful preparedness of data management and systems. To ensure quality the followings will be implemented:

* Rigorous training of interviewers and supervisors
* Detailed and extensive piloting
* Daily checks of data quality and data entries for inconsistencies
* Sufficient time for re-visiting interviewees in case of questions over data
* Frequent monitoring of interviewees.

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**INPUTS**

**Annex I. Theory of Change: Evidence Generation on Social and Economic Impacts of COVID-19 on Children and Caregivers**

**Telephonic Surveys**

**IVRs**

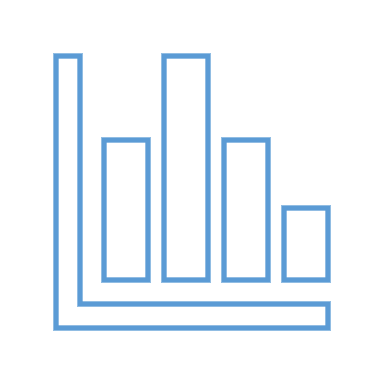
**Partnerships**

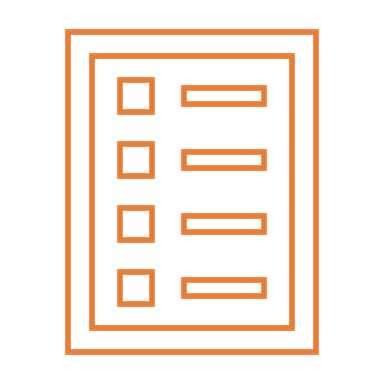
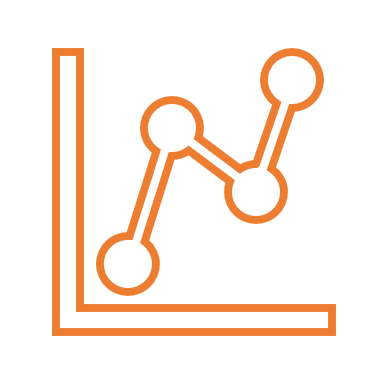
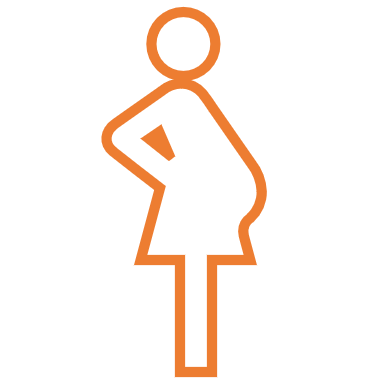
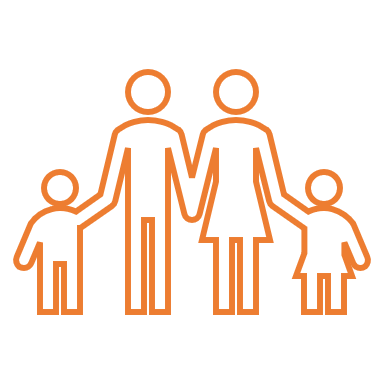
**Human Resources**

**Finances**

**ACTIVITIES**

**Data Analysis**



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**Prevention of violence against women and children**

**Analytical Reports**

**Social and economic issues identified**

**Increased Awareness of useful health and nutrition sources for children and pregnant women**

**Advocacy and Resource Mobilization**

**Vulnerable households identified**

**Increased Awareness on COVID-19**

**Monitoring Reports**



Improved wellbeing of children

**SHORT TERM OUTCOMES**

Improved social and economic conditions of vulnerable households

**Long term outcome**

**Reduced negative impacts of COVID-19 on children and their caregivers**

**Risks:**

* Low response rate
* Miscommunication
* Household expectations

**Assumptions:**

* Representative sample size
* Collaborative households

**Preconditions:**

* Availability of telephones and internet in households
* Interest of households

Data Collection Tools: COVID-19 Socio-Economic Monitoring and Analysis

**ANNEX I: HOUSEHOLD TELEPHONIC BASELINE SURVEY**

|  |  |  |  |
| --- | --- | --- | --- |
| **Informed Consent**  Namaskar! My name is ………………………. and I am working as a researcher for UNICEF.  We are conducting a survey to understand the situation of households with children and experience during the lockdown. | | | |
| **Code** | **Questions** | **Responses** | **Instructions** |
| 0.01 | May I please ask, how old are you? | AGE ----- | [If below the age of 18, ask for a primary caregiver. If the primary caregiver is not available, end the interview. If above the age of 18, ask if the respondent is a primary caregiver? If yes, continue the introduction]. |
| 0.02 | Sex of the interviewee | 1 =Female  2 =Male  3=Other |  |
| 0.03 | Are you a parent / guardian of children who are younger than 18 years? | 1=Yes  2=No ( End) |  |
| *[Continue]*  We will also ask about socio-economic situation in your household. We would like to know how you and your children are coping with the situation. The whole survey process will take about 20 - 25 mins to complete. After this call, you will receive 150 rupees as a top-up in your mobile account.  Your participation is entirely voluntary. You may refuse to take part in the interview and stop it at any time if you do not wish to continue. You may skip or not answer any particular question. The only reason we will record you name is to use in this interview. Your name and names of your household members will be removed after the completion of this survey.  You may ask questions / seek clarification at any point before, during or after the interview. Please be assured that all the information that you provide will be kept confidential at all time. This means that information from this survey will never be used to personally identify you and your family.  If you require any additional information about this survey, you can contact XX, at Contractor’s Name at Tel XX. Thank you for your support   * + - * Are you willing to participate in the survey?   YesNo | | | |

|  |  |  |
| --- | --- | --- |
| 0.04 | Consent result | 1. Consented 2. Refused ( End interview) |

|  |  |  |
| --- | --- | --- |
| 0.04 | Date of the interview |  |
| 0.05 | Name of the interviewer |  |
| 0.06 | Mobile number of the interviewee |  |
| 0.07 | Alternate number (if available) |  |
| 0.08 | Interview ID code |  |
| 0.09 | Name of province |  |
| 0.10 | Name of District |  |
| 0.11 | Name of Municipality/Rural Municipality |  |
| 0.12 | Ward No. |  |
| 0.13 | Residence | 1. Rural 2. Urban |

| **HL1**. *Line*  *number* | **HL2**.  First, please tell me the name of each person who lives in your household, starting with the head of the household.  *Probe for additional household members.* | | **HL3**.  What is the relationship of (***name***) to (***name of the head of household***)? | **HL4**.  Is (***name***) male or female?  1 Male  2 Female | HL5.  What is (name)’s date of birth? | | | **HL6**. How old is (***name***)?  *Record in completed years.*  *If age is 95 or above, record ‘95’.* | **HL7**.  Is (***name***)’s natural mother alive?  1 Yes  2 No*⬂*  *HL10*  8 DK*⬂*  *HL10* | **HL8**  Does (***name***)’s natural mother live in this household?  1 Yes  2 No*⬂*  *HL15* | **HL9**. Where does (***name***)’s natural mother live?  1 abroad  2 In another household in the same district  3 in another household in another district  4 Institution in this country  8 DK | **HL10**.  Is (***name***)’s natural father alive?  1 Yes  2 No*⬂*  *HL14*  8 DK*⬂*  *HL14* | **HL11**. Does (***name***)’s natural father live in this household?  1 Yes  2 No*⬂*  *HL19* | | **HL12** Where does (***name***)’s natural father live?  1 abroad  2 In another household in the same district  3 in another household in another district  4 Institution in this country  8 DK | | **HL13**. *Copy the line number of mother from HL14. If blank, ask:*  Who is the primary caretaker of (***name***)?  If ‘No one’ for a child age 15-17, record ‘90’. | **HL 14**  What is the caste of this household?  01 HILL BRAHMIN  02 HILL CHHETRI  03 HILL JANAJATI  04 HILL DALIT  05 HILL NEWARI  06 TARAI NEWARI  07 TARAI MADHESI  08 TARAI DALIT  09 TARAI BRAHAMIN  10 TARAI JANAJATI  11 OTHER EXCLUDED CASTE  12 ENDANGERED ETHNIC GROUP  *98 DK* | **HL 15.**  16. 1. Has anyone moved in to live with you because of lockdown?  1 Yes  2 No*⬂*  END | | **HL 16.**  17. 1. Has anyone moved out your household because of lockdown?  1 Yes  2 No*⬂*  END |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 98 DK | 9998 DK | |
| 16. 2. How many? | | 17. 2. How many? |
| Line | Name | | Relation\* | M F | Month | Year | | Age | y n dk | y n |  | y n dk | y n | |  | |  |  |  | |  |
| 01 |  | | 0 1 | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ |  | 01 | | 01 |
| 02 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 02 | | 02 |
| 03 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 03 | | 03 |
| 04 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 04 | | 04 |
| 05 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 05 | | 05 |
| 06 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 06 | | 06 |
| 07 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 07 | | 07 |
| 08 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 08 | | 08 |
| 09 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 09 | | 09 |
| 10 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 10 | | 10 |
| 11 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 11 | | 11 |
| 12 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 12 | | 12 |
| 13 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 13 | | 13 |
| 14 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 14 | | 14 |
| 15 |  | | \_\_ \_\_ | 1 2 | \_\_\_ \_\_\_ | \_\_ \_\_ \_\_ \_\_ | | \_\_\_ \_\_\_ | 1 2 8 | 1 2 | 1 2 3 4 8 | 1 2 8 | 1 2 | | 1 2 3 4 8 | | \_\_\_ \_\_\_ | 15 | | 15 |
| \* *Codes for* ***HL3****: Relationship to head of household:* | | 01 Head  02 Spouse / Partner  03 Son / Daughter  04 Son-in-law / Daughter-In-Law | | | | | 05 Grandchild  06 Parent  07 Parent-In-Law  08 Brother / Sister | | | | | | |  | | 09 Brother-in-law / Sister-In-Law  10 Uncle/Aunt  11 Niece / Nephew  12 Other relative | | | | 13 Adopted / Foster / Stepchild  14 Servant (Live-in)  96 Other (Not related)  98 DK | |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ED1**. *Line*  *number* | **ED2**.  *Name and age.*  *Copy names and ages of all members of the household from HL2 and HL6 to below and to next page of the module.* | | **ED3**.  *Age 3 or above?*  1 Yes  2 No*⬂*  *Next Line* | | **ED4**.  Has (***name***) ever attended school or preschool?  1 Yes *⬂*  *Next Line*  2 No *⬂*  **END** | | **ED5**.  What is the highest level and grade or year of school (***name***) has ever attended? **Ask for each listed person.** | | **ED6**.  Did (***name***) ever complete the school that year?  1 Yes  2 No  8 DK | | |
| Level:  0 PRESCHOOL*⬂*  *ED7*  1 INFANT  2 ECD  3 BASIC (Grade 1 to 8)  4 SECONDARY (Grade 9 to 12)  5 B.Sc. OR Higher  6 NONE  8 DK | SCHOOL Year/  98 DK*⬂*  **END** |
| Line | Name | Age | Yes | No | Yes | No | Level | std/frm/Year | Y | N | DK |
| 01 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 02 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 03 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 04 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 05 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 06 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 07 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 08 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 09 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 10 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 11 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 12 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 13 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 14 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |
| 15 |  | \_\_\_ \_\_\_ | 1 | 2 | 1 | 2 | 0 1 2 3 4 5 6 8 | \_\_\_ \_\_\_ | 1 | 2 | 8 |

**3. Disability (Washington Group Set of Questions)**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **#** | **Question** | **Responses** | | | | **Instructions** |
| 3.1 | Now, I will list some activities. You can answer yes or no. Please tell me if you or a family member have difficulties in: | 1. Seeing | | 1=Yes | 2=No | If responded answered, ‘no’ to all options, skip 3.2. |
| 2. Hearing | | 1=Yes | 2=No |
| 3. Walking or climbing stairs | | 1=Yes | 2=No |
| 4. Remembering or concentrating | | 1=Yes | 2=No |
| 5. In self-care, such as washing all over or dressing | | 1=Yes | 2=No |
| 6.Difficulty in communicating, understanding or being understood. | | 1=Yes | 2=No |
| 3.2 | How many people with such difficulties live in your household? | 1=1  2=2  3= More than 2 | 1=Female  2=Male | 1. Below the age of 2  2. Below the age of 5  3. Above the age of 18 | | Record age and sex. |
| 3.3 | Does anyone in your household receive disability allowance? | 1=Yes  2=No | | | | |

**4. Awareness of COVID-19**

|  |  |  |  |
| --- | --- | --- | --- |
| **#** | **Question** | **Responses** | **Instructions** |
| 4.1 | Have you heard about Corona virus disease? | 1=Yes  2=No | If ‘no’, END this section and move to socio-economic questions.  Share sources of information (see interview manual).  Mark this household and ask follow up questions in the next round). |
| 4.2 | Have your children heard about Corona virus disease? | 1=Yes  2=No |
| 4.3. | Where did you and your children hear about Corona? | 1=Ward officials or municipality office  2=Community committees (any)  3= Neighbours  4=Hospitals  5=Internet  6=Radio  7=TV  8.=Social media  9=Schools  10=Others (please specify)  98=Don’t know | Do not list these options and mark only those mentioned by the respondent. |
| 4.4 | Is there anything you and your children are doing to protect yourselves from Corona? | 1=Yes  2=No | If ‘no, skip the question 4.5. |
| 4.5 | What are you and your children doing to protect yourselves from Corona? | 1= Stay at home  2= Wear masks when we go outside  3= Wash our hands with soap and frequently  4= Using hand sanitizers  5=Staying away from people when we are outside  6=Nothing  7=Other methods (specify)  98=Don’t know | Do not list these options and mark only those mentioned by the respondent. |
| 4.6 | If you need more information on Corona, do you know where to get it from? | 1=Yes  2=No | If ‘no’, skip 4.7 and go to the next question. |
| 4.7 | Where do you get the information from? | 1=Ward officials or municipality office  2=Community committees (any)  3= Neighbours  4=Hospitals  5=Internet  6=Radio  7=TV  8=Social media/Facebook/Twitter/Instagram  9=Schools  10=Others (please specify)  98=Don’t know | Do not list these options and mark only those mentioned by the respondent. |
| 4.8 | Have you heard or seen any messages about breastfeeding in the context of Corona virus disease? | 1=Yes  2=No |  |
| 4.9 | Where have you heard or seen messages? | 1= Facebook  2= WhatsApp  3=Viber  4=TV  5=Radio  6=Newspapers and other print media  7=Others (specify) | Do not list these options and mark only those mentioned by the respondent. |
| 4.10 | What do you recall about the messages? | 1= COVID is not transmitted via breastmilk  2=It is safe to continue breastfeeding  3=Wear mask when breastfeeding  4= Wash hands before handling infant  5=All of the above  6=Do not recall messages |  |

**5. Socio-economic situation**

| **#** | **Question** | **Responses** | | | | | | **Instructions** | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Assets, employment and needs** | | | | | | | | | |
| 5.1 | Now, I will list categories of employment. You can decline to respond. Please select the most relevant categories of work that applies to you and your family members: | 1=Day labourer  2=Salaried worker, private sector  3=Salaried worker, public sector  4=Self-employed without paid employees  5=Self-employed with paid employees  6=Unemployed  7=Other (specify)  8=Declined to respond | | | | | | Select all that apply. | |
| 5.2 | Have you or anyone in your family lost earnings due to the recent lockdown? | 1=Yes  2=No | | | | | | Mark if the respondent answered ‘no’ and repeat this question next time.  If yes, ask the question 5.3. | |
| 5.3 | Can you/a household member who has a job work from home now? | 1=Yes  2=No | | | | | |  | |
| 5.4 | Now, I will ask you a question about economic status of your household. You may decline to answer. Do you consider your household: | 1=Higher income level  2=Middle-income level  3=Lower middle-income level  4=Poor  5=Very poor  6=Declined to answer | | | | | | Select one option. | |
| 5.5 | What are your family’s current immediate needs? | 1=Food  2=Drinking water  3=Water for handwashing / hygiene  4=Menstrual hygiene products  5=Soap and toothpaste  6=Medications  7=Cooking fuel  8=Others (specify)  98=Don’t’ know | | | | | | Do not list these options and mark only those mentioned by the respondent. | |
| 5.6 | Now, I will list food items. Please tell me, for items I mention whether they are available, scarce or unavailable for you because of lockdown: | Food items | | Available | | Scarce | Unavailable | |  |
| 1 = Meat, fish, chicken, mutton etc. | | 1 | | 2 | 3 | |
| 2= Wheat flour | | 1 | | 2 | 3 | |
| 3 = Milk, yogurt, cheese and other similar products | | 1 | | 2 | 3 | |
| 4 = Bread, noodles, porridge, or others | | 1 | | 2 | 3 | |
| 5= Pumpkin, carrots, squash, or sweet potatoes that are yellow or orange inside | | 1 | | 2 | 3 | |
| 6 = White potatoes, white yams, cassava or any other foods made from roots | | 1 | | 2 | 3 | |
| 7 =Any dark green, leafy vegetables such as arum leaves, garden cress, spinach, pigweed, mustard leaves. | | 1 | | 2 | 3 | |
| 8 =Ripe mango, ripe papaya or ripe apricot | | 1 | | 2 | 3 | |
| 9 = Any other fruits, such as banana, orange, grapes etc.  10 = Beans, peas, lentils or nuts, including any foods made from them | | 1 | | 2 | 3 | |
| 11 = Eggs | | 1 | | 2 | 3 | |
| 12=Rice | | 1 | | 2 | 3 | |
| 14= Salt | | 1 | | 2 | 3 | |
| 15=Oil | | 1 | | 2 | 3 | |
| 5.7 | Do you or does any member of your household own any land that you use for agriculture and business? | 1=Yes  2=No | | | | | |  | |
| 5.8 | Do you or does any member of your household own any livestock, herd or other farm animals or poultry? | 1=Yes  2=No | | | | | | If the respondent does not have any land and livestock, skip 5.9 and go to 5.10. | |
| 5.9 | Are your household’s agricultural business and livestock affected negatively by lockdown? | 1= Agricultural business  2=Livestock  3=Both  4= Not affected | | | | | |  | |
| 5.10 | Now, I will list options for coping financially in the current situation. Please choose options that apply to you and your family: | 1= Borrow money  2=Use savings  3=Save money/reduce expenditures  4 =Start a new business  5 =Start to work  6= Ask for support from relatives and neighbours  7= Sell assets  8=Left my own home to stay with my extended family  9=Other coping strategies  10 = None | | | | | | Select all that apply. | |
| 5.11 | Are you or anyone in your households receiving social security grant from the Government? | 1=Yes  2=No | | | | | | If ‘no, skip the question 5.12. | |
| 5.12 | What types of social security grant is your household reiving? | 1= Child Grant  2= Grant for persons with a disability  3= Grant for senior citizens  4= Grant for highly marginalized indigenous ethnic groups  5= Grant for widows and single women over 60 years of age | | | | | |  | |
| 5.13 | Are you facing difficulties in receiving social security grants due to lockdown? | 1=Yes  2=No | | | | | | If “yes”, share contact point to resolve this issue. | |
| **Education (skip this section if a household only has children below the age of 2).** | | | | | | | | | |
| **#** | **Question** | **Responses** | | | | | | **Instructions** | |
| 5.14 | Did children in your household go to school before lockdown? | 1=Yes  2=No | | | | | | If ‘no’, skip the questions 5.14, 5,15 and 5.16. Next, ask the question 5.17. | |
| 5.15 | Did children in your household have to stop going to school because of lockdown? | 1= Yes  2=No | | | | | |  | |
| 5.16 | Do your children’s schools offer distant learning during lockdown? | 1= Yes  2=No | | | | | | If no, skip 5.16 and go to 5.17. | |
| 5.17 | Are your children taking advantage of distant learning? | 1=Yes  2=No | | | | | | If ‘yes’, skip 5.17 and go to 5.18. If ‘no’, ask the question 5.17. | |
| 5.18 | How are your children studying these days? | 1= Home-schooled/private classes  2= Dropped out of school/children are not studying at present  3= Others (please specify)  98=Don’t know | | | | | |  | |
| 5.19 | Have you had difficulties in getting the following items for your children’s education due to lockdown? | 1 = Books  2= Notebooks  3=Pens and pencils  4=Other items teachers’ guidance and friends | | | | | |  | |
| 5.20 | Now in lockdown, do your children spend time studying more or less? | 1=More  2=Less  98=Don’t know | | | | | |  | |
| 5.21 | Have your children started having difficulties in studying since lockdown began? | 1=Yes  2=No  98=Don’t know | | | | | | If ‘no, skip 5.21 | |
| 5.22 | What are the main difficulties your children are having to continue to study at present? | 1 = Lack of energy  2= Lack of interest  3=Spending too much time on the internet  4= Household chores  5=No access to school  6= Lack of educational items  7= Other reasons | | | | | |  | |
|  |  |  | | | | | |  | |
| **Child protection and mental health** | | | | | | | | | |
| **#** | **Question** | **Responses** | | | | | | **Instructions** | |
| 5.23 | Please tell me, are your children showing any signs of: | 1=Fear  2=Feeling gloomy  3= Irritation  4=Anger  5=Sleep disturbances  6=Other stress related signs  7= No signs | | | | | | If the respondent chooses any of the options, provide a contact number of centres for help/counselling in the guidance note. If no response, skip 5.23  If the respondent only has boys or girls, skip 5.23. | |
| 5.24 | Are your daughters or sons showing these signs? | 1= Girls  2=Boys  3=Both | | | | | |  | |
| 5.25 | Since lockdown began, have you noticed households around you showing signs of violent behaviour towards children? | 1=Yes  2=No | | | | | |  | |
| 5.26 | Do you know where to report domestic violence? | 1=Yes  2=No | | | | | |  | |
| 5.27 | Since lockdown began, have you started fighting or anyone in your household has been fighting or physically behaving violently? | 1=Yes  2=No | | | | | | If ‘yes’, share the number to call to report domestic violence. | |
| 5.28 | Since lockdown started, have your children been helping you with the household work more or less? | 1= More  2= Less | | | | | | Skip this question if children in the household are below the age of 2 | |
| 5.29 | Are any of your children helping you earn income now? | 1=Yes  2=No | | | | | | Follow the guidance note to report, if the respondent answers ‘yes’.  Skip these questions if children in the household are below the age of 2 | |
| 5.30 | Did any of your children help you earn income before lockdown? | 1=Yes  2=No | | | | | |
| **Nutrition, health and care seeking behaviour** | | | | | | | | | |
| **#** | **Question** | **Responses** | | | | | | **Instructions** | |
| 5.31 | Did children in your household go without a meal in the past day/night, reduce the quantity of food at meals or reduce number of meals per day because of lockdown? | 1= Go without food in the past 24 hours  2= Reduce amount of food at meals  3= Reduce number of meals per day  4=Reduced a variety of meals  4= No change | | | | | |  | |
| 5.32 | How many times a day do your children eat at present? | 1= Once a day  2=Twice a day  3=Three times a day  4=More than usual  98=Don’t know | | | | | |  | |
| 5.33 | Is (**name**) being breastfed now? | 1=Yes  2=No | | | | | | Ask this question about the child/children below the age of 2.  If ‘yes’, go to the question 5.33.  If ‘no’ go to the question 5.34 | |
| 5.34 | Did (name)’s mother reduce the number of meals per day because of lockdown? | 1=Yes  2=No | | | | | |  | |
| 5.35 | Since lockdown began how often is (**name**) being breastfed? | 1=Less than normal  2=Same as usual  3=More than normal  4=A mother had to stop breastfeeding | | | | | | If ‘stopped breastfeeding’ go to 5.35. If the respondent choses any of the option except 4, skip the question 5.35. | |
| 5.36 | May I ask why is (**name)** not being breastfed? | 1=Stress due to the lockdown  2=Afraid of transmitting the Corona virus to infant  3=Not enough breast milk  4=Do not want to breastfeed anymore  5=Advised by health worker to stop  6=Advised by family member to stop  7=Other reasons (specify) | | | | | |  | |
| 5.37 | Now I would like to ask you about liquids and food that (***name***) may have had yesterday during the day or the night.  Did (***name***) drink (***name of item***) yesterday during the day or the night: |  | Yes | | No | DK | |  | |
| 1= Plain water | 1 | | 2 | 8 | |  | |
| 2= Juice or juice drinks? | 1 | | 2 | 8 | |  | |
| 3= Watery soup? | 1 | | 2 | 8 | |  | |
| 4= Infant formula? | 1 | | 2 | 8 | |  | |
| 4.1= How many times did (***name***) drink infant formula? | NUMBER OF TIMES\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | |  | |
| 5= Milk from animals, such as fresh, tinned, or powdered milk? | 1 | | 2 | 8 | |  | |
| 5.1=How many times did (***name****)* drink milk? | NUMBER OF TIMES\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | If 7 or more times, record ‘7’.  If unknown, record ‘8’. | |
| 6=Yogurt made from animal milk? | 1 | | 2 | 8 | |  | |
| 6.1=How many times did (***name****)* eat yogurt? | NUMBER OF TIMES\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | If 7 or more times, record ‘7’.  If unknown, record ‘8’. | |
| 7=Any baby food, such as gerber, hero, cerelac or nestum? | 1 | | 2 | 8 | |  | |
| 8=Bread, rice, noodles, porridge, or other foods made from grains? | 1 | | 2 | 8 | |  | |
| 9=Pumpkin, carrots, squash, or sweet potatoes that are yellow or orange inside? | 1 | | 2 | 8 | |  | |
| 10=White potatoes, white yams, cassava, or any other foods made from roots? | 1 | | 2 | 8 | |  | |
| 11=Any dark green, leafy vegetables, such as callaloo or chaya? | 1 | | 2 | 8 | |  | |
| 12=Any dark green, leafy vegetables, such as callaloo or chaya? | 1 | | 2 | 8 | |  | |
| 13=Any other meat, such as beef, pork, lamb, goat, chicken, duck or sausages made from these meats? | 1 | | 2 | 8 | |  | |
| 14= Eggs? | 1 | | 2 | 8 | |  | |
| 15=Fish or shellfish, either fresh or dried? | 1 | | 2 | 8 | |  | |
| 16=Beans, peas, lentils or nuts, including any foods made from these? | 1 | | 2 | 8 | |  | |
| 17=Cheese or other food made from animal milk? | 1 | | 2 | 8 | |  | |
| 18=Other solid, semi-solid, or soft food? | 1 | | 2 | 8 | |  | |
| 5.38 | If you needed health services now, where would you go? | 1=Hospital in the city  2=Health posts  3=Will not go out  4= Doctors or nurses in my community will come to my place to help me  98=Don’t know | | | | | | If an interviewee responded that s/he would not go out, ask the question 5.38. If the respondent choose any of the options except 3, skip the question 5.38. | |
| 5.39 | Why would you not go out to seek health services? | 1=Physical restrictions on movement  2=Fear of contamination  3=No money  4=No answer  5=Other reasons (specify) | | | | | |  | |
| 5.40 | Were you or anyone in your household receiving treatment before lockdown? | 1=Yes  2=No | | | | | |  | |
| 5.41 | Do you or anyone in your household begin treatment after lockdown? | 1=Yes  2=No | | | | | |  | |
| 5.42 | Can you/ does a family member (s)continue the same treatment now? | 1=Yes  2=No | | | | | | If ‘no’ ask the question 5.41 | |
| 5.43 | Why did you discontinue treatment? | 1= Physical restrictions on movement  2=Fear of contamination  3=No money  4=No answer  5= No health insurance  6=Completed the treatment.  6=Other reasons (specify) | | | | | |  | |
| 5.44 | Do you have ORS for diarrhoea in your household? | 1=Yes  2=No | | | | | |  | |
| 5.45 | Do you have paracetamol for flu/cold in your household? | 1=Yes  2=No | | | | | |  | |
| 5.46 | Is there anyone in your family who is pregnant? | 1=Yes  2=No | | | | | | If ‘no, skip the questions 5.46, 5.47 and 5.48. | |
| 5.47 | Does the pregnant household member have access to regular health services and ANC? | 1=Yes  2=No | | | | | | If ‘no’, ask the questions 5.47. If ‘yes, skip the question 5.46. | |
| 5.48 | Why does the pregnant household member have no access to health services and ANC? | 1= Closed ANC services in Health Facilities due to lockdown  2=Health posts are closed  3= Absence of health insurance  4= Due to lack of money  5=Risk of transmission in Health Facility  6= Other reasons (specify) | | | | | |  | |
| 5.49 | Will the pregnant household member deliver at home or go to a hospital? | 1=Deliver at home  2=Go to a hospital/ health facility  98=Don’t know | | | | | | If the respondent chooses the option 1, ask the question 5.49. | |
| 5.50 | Could you please tell me why the pregnant household member must deliver at home? | 1 = Cannot go out due to lockdown  2= Fear of contamination  3= A hospital is too far away  4= Limited financial capacity  5= Other reasons (specify) | | | | | |  | |

|  |  |
| --- | --- |
| **6** | **Interviewee’s experience and perceptions** |
| ***Instructions*** | **Households will be randomly selected for this part of the questionnaire.** |
| Now I will list words associated with social and economic situation:  1. Socialization and communication with family and friends  2. Job security  3. Food and water security  4. Safety of your family in your community  5. Health of your family  Which of these 5 aspects of your life was affected the most? Please explain your choice to me.  **Each interviewer will conduct this part of the survey 2 times. 90- Households to be randomly selected in each round** | |
|  | |
| Thank you for your time to answer our questions. If it is still okay with you, we will follow up with you again next month. Your participation in this survey will remain voluntary. In the next round of the interview, you will also receive a cash top-up in your mobile account. You can refuse to participate at any time. This month, you may also receive an interactive voice response message. It will have some follow-up questions. The message will be free for you. We would be grateful for your participation.  7.1. Is it okay if we contact you with follow up questions? 1 = Yes, 2 = No 🡪 consent for follow-up given yes/no  7.2. We would like to continue to talk about you and your family over the next months. We wish to call you on the phone every month for 20-25 minutes. Again, all the information you provide will be confidential and anonymous.  Is it okay if we contact you next month to follow-up with the situation in your household? 1 = Yes, 2 = No 🡪 Consent for follow-up interview given yes/no  7.3. (If yes for 7.1 and/or 7.2) Is this the best number to reach you on or would you prefer us to call you on another number? 1 = Same Number, 2 = Other Number 🡪 Record other number. | |

Data Collection Tools: COVID-19 Socio-Economic Monitoring and Analysis

**ANNEX III: HOUSEHOLD TELEPHONIC FOLLOW UP SURVEY**

|  |
| --- |
| **Informed Consent**  Namaskar! My name is ………………………. and I am working as a researcher for UNICEF.  Thank you for willing to participate in our follow questions. |
| I will also follow-up questions ask about socio-economic situation in your household. I would like to know how you and your children are coping with the situation. The whole survey process will take about 20 - 25 mins to complete. After this call, you will receive 250 rupees as a top-up in your mobile account.  Your participation is entirely voluntary. You may refuse to take part in the interview and stop it at any time if you do not wish to continue. You may skip or not answer any particular question. The only reason we will record you name is to use in this interview. Your name and names of your household members will be removed after the completion of this survey.  You may ask questions / seek clarification at any point before, during or after the interview. Please be assured that all the information that you provide will be kept confidential at all time. This means that information from this survey will never be used to personally identify you and your family.  If you require any additional information about this survey, you can contact XX, at Contractor’s Name at Tel XX. Thank you for your support   * + - * Are you willing to participate in the survey?   YesNo |

|  |  |  |
| --- | --- | --- |
| 0.04 | Consent result | 1. Consented 2. Refused ( End interview) |

|  |  |  |
| --- | --- | --- |
| 0.04 | Date of the interview |  |
| 0.05 | Name of the interviewer |  |
| 0.06 | Mobile number of the interviewee |  |
| 0.07 | Alternate number (if available) |  |
| 0.08 | Interview ID code |  |
| 0.09 | Name of province |  |
| 0.10 | Name of District |  |
| 0.11 | Name of Municipality/Rural Municipality |  |
| 0.12 | Ward No. |  |
| 0.13 | Residence | 1. Rural 2. Urban |

**2 Awareness of COVID-19**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **#** | **Question** | **Responses** | | | | **Instructions** |
| 2.1 | Have you heard about Corona virus disease, since we last talked? | 1=Yes  2=No | | | |  |
| 2.2 | Have your children heard about Corona virus disease? | 1=Yes  2=No | | | |  |
| 2.3 | Where do you and your children heard about Corona? | 1=Ward officials or municipality office  2=Community committees (any)  3= Neighbours  4=Hospitals  5=Internet  6. Radio  7. TV  8. Social media  9=Schools  10. Others (please specify)  98=Don’t know | | | | Select all that apply. |
| 2.4 | Is there anything you and your children are doing to protect yourselves from Corona? | 1=Yes  2=No | | | | If ‘no, skip the question 2.5 |
| 2.5 | What are you and your children doing to protect yourselves from Corona? | 1= Stay at home  2= Wear masks when we go outside  3= Wash our hands with soap and frequently  4= Using hand sanitizers  5=Staying away from people when we are outside  6=Nothing  7. Other methods (specify)  98=Don’t know | | | | Select all that apply. |
| 2.6 | If you need more information on Corona, do you know where to get it from? | 1=Yes  2=No | | | | If ‘no’, skip 2.7 and go to the next question. |
| 2.7 | Where do you get the information from? | 1=Ward officials or municipality office  2=Community committees  3= Neighbours  4=Hospitals  5=Internet  6. Radio  7. TV  8. Social media/Facebook/Twitter/Instagram  9. Others (please specify)  98=Don’t know | | | | Select all that apply. |
| 2.8 | How often do you rely any of the following sources of information to learn about something new? | Sources of information | Often | Seldom | Almost Never |  |
| 1. Ward officials or municipality office | 1 | 2 | 3 |  |
| 2. Community committees | 1 | 2 | 3 |  |
| 3. Neighbours | 1 | 2 | 3 |  |
| 4. Radio | 1 | 2 | 3 |  |
| 5. TV | 1 | 2 | 3 |  |
| 6. Internet | 1 | 2 | 3 |  |
| 7. Social media/Facebook /Twitter/Instagram | 1 | 2 | 3 |  |

**3. Socio-economic situation**

| **#** | **Question** | **Responses** | | | | **Instructions** |
| --- | --- | --- | --- | --- | --- | --- |
| **Assets, employment and needs** | | | | | | |
| 3.1 | Have you or anyone in your family lost earnings due to the recent lockdown? | 1=Yes  2=No | | | |  |
| 3.1 (a) Have you found a job since we last spoke? | 1=Yes  2=No | | | | Ask this question if a respondent/household member reported losing a job in the last interview. |
| 3.2 | Can you/a household member who has a job work from home? | 1=Yes  2=No | | | |  |
| 3.3 | What are your family’s current immediate needs? | 1=Food  2=Drinking water  3= Water for handwashing / hygiene  4 = Menstrual hygiene products  5 =Soap and toothpaste  6= Medications  7 = Cooking fuel  8= Others (specify)  98=Don’t’ know | | | | Select all that apply |
| 3.4 | Now, I will list food items. Please tell me, for items I mention whether they are available, scarce or unavailable for you because of lockdown: | Food items | Available | Scarce | Unavailable |  |
| 1 = Meat, fish, chicken, mutton etc. |  |  |  |
| 2= Wheat flour |  |  |  |
| 3 = Milk, yogurt, cheese and other similar products |  |  |  |
| 4 = Bread, noodles, porridge, or others |  |  |  |
| 5= Pumpkin, carrots, squash, or sweet potatoes that are yellow or orange inside |  |  |  |
| 6 = White potatoes, white yams, cassava or any other foods made from roots |  |  |  |
| 7 =Any dark green, leafy vegetables such as arum leaves, garden cress, spinach, pigweed, mustard leaves. |  |  |  |
| 8 =Ripe mango, ripe papaya or ripe apricot |  |  |  |
| 9 = Any other fruits, such as banana, orange, grapes etc.  10 = Beans, peas, lentils or nuts, including any foods made from them |  |  |  |
| 11 = Eggs |  |  |  |
| 12=Rice |  |  |  |
| 14= Salt |  |  |  |
| 15=Oil |  |  |  |
| 3.5 | Are your household’s agricultural business and livestock affected negatively by lockdown? | 1= Agricultural business  2=Livestock  3=Both  4= Not affected | | | | Ask this question if a responded reported having livestock/agricultural business. |
|  | 3.5 (a) Have you been able to recover your agricultural/livestock since we last spoke | 1=Yes  2=No | | | | Ask this question if the respondent reported losing a job in the last interview. |
| 3.6 | How are you coping financially at present? | 1= Borrow money  2=Use savings  3=Save money/reduce expenditures  4 =Start a new business  5 =Start to work  6= Ask for support from relatives and neighbours  7= Sell assets  8=Other coping strategies  9 = None | | | | Select all that apply. |
| 3.7 | Are you or anyone in your households receiving social security grant from the Government now? | 1=Yes  2=No | | | | If ‘no, skip the question 3.8 |
| 3.8 | Are you facing difficulties in receiving social security grants due to lockdown? | 1=Yes  2=No | | | | If “yes”, share contact point to resolve this issue. |
| 3.9 | What types of social security grant is your household reiving? | 1= Child Grant  2= Grant for persons with a disability  3= Grant for senior citizens  4= Grant for highly marginalized indigenous ethnic groups  5= Grant for widows and single women over 60 years of age | | | |  |
| **Education (skip this section if a household only has children below the age of 2).** | | | | | | |
| **#** | **Question** | **Responses** | | | | **Instructions** |
| 3.10 | Do your children’s schools offer distant learning during lockdown? | 1= Yes  2=No | | | | If no, skip 3.10 and go to 3.11. |
| 3.11 | Are your children taking advantage of distant learning? | 1=Yes  2=No | | | | If ‘yes’, skip 3.11 and go to 3.12. If ‘no’, ask the question 3.11. |
| 3.12 | How are your children studying these days? | 1= Home-schooled/private classes  2= Dropped out of school/children are not studying at present  3= Others (please specify)  98=Don’t know | | | |  |
| 3.13 | Have you had difficulties in getting the following items for your children’s education due to lockdown? | 1 = Books  2= Notebooks  3=Pens and pencils  4=Other items teachers’ guidance and friends | | | |  |
| 3.14 | Do your children spend time studying more or less? | 1=More  2=Less  98=Don’t know | | | |  |
| 3.15 | Have your children started having difficulties in studying since lockdown began? | 1=Yes  2=No  98=Don’t know | | | | If ‘no, skip 3.15 |
| 3.16 | What are the main difficulties your children are having to continue to study at present? | 1 = Lack of energy  2= Lack of interest  3=Spending too much time on the internet  4= Household chores  5=No access to school  6= Lack of educational items  7= Other reasons | | | |  |
|  |  |  | | | |  |
| **Child protection and mental health** | | | | | | |
| **#** | **Question** | **Responses** | | | | **Instructions** |
| 3.17 | Please tell me, are your children showing any signs of: | 1=Fear  2=Feeling gloomy  3= Irritation  4=Anger  5=Sleep disturbances  6=Other stress related signs  7= No signs | | | | If the respondent chooses any of the options, provide a contact number of centres for help/counselling in the guidance note. If no response, skip 3.17  If the respondent only has boys or girls, skip 3.18. |
| 3.18 | Are your daughters or sons showing these signs? | 1= Girls  2=Boys  3=Both | | | |  |
| 3.19 | Have you or anyone in your household recently began….? | 1=Yelling at children more  2=Beating children more  3=Scolding children more  4=None of the above | | | |  |
| 3.20 | Have you started fighting or anyone in your family has been fighting or physically behaving violently? | 1=Yes  2=No | | | | If ‘yes’, share the number to call to report domestic violence. |
| 3.21 | Have your children been helping you with the household work more or less? | 1= More  2= Less | | | | Skip this question if children in the household are below the age of 2 |
| 3.22 | Are any of your children helping you earn income now? | 1=Yes  2=No | | | | Follow the guidance note to report, if the respondent answers ‘yes’.  Skip these questions if children in the household are below the age of 2 |
| 3.23 | Did any of your children help you earn income before? | 1=Yes  2=No | | | |
| **Nutrition, health and care seeking behaviour** | | | | | | |
| **#** | **Question** | **Responses** | | | | **Instructions** |
| 3.24 | Did children in your household go without a meal in the past day/night, reduce the quantity of food at meals or reduce number of meals per day because of lockdown? | 1= Go without food in the past 24 hours  2= Reduce amount of food at meals  3= Reduce number of meals per day  4=Reduced a variety of meals  4= No change | | | |  |
| 3.25 | How many times a day do your children eat at present? | 1= Once a day  2=Twice a day  3=Three times a day  4=More than usual  98=Don’t know | | | |  |
| 3.26 | Are there any mothers in the household who are breastfeeding at present? | 1=Yes  2=No | | | | Ask this question if a family has children below the age of 2.  If ‘yes’, go to the question 3.26  If ‘No’ go to question 3.28 |
| 3.27 | How often the child/children is/are being breastfed? | 1= Less than normal  2= Same as usual  3= More than normal  4= A mother had to stop breastfeeding | | | | If ‘stopped breastfeeding’ go to 3.28. If the respondent choses any of the option except 4, skip the question 3.29. |
| 3.28 | May I ask why the child/children are not being breastfed? | 1=Stress due to the lockdown/situation because of Corona  2= Afraid of transmitting the Corona virus to infant  3= Not enough breast milk  4= Do not want to breastfeed anymore  5 = Advised by health worker to stop  6 = Advised by family member to stop  7 =Other reasons (specify) | | | |  |
| 3.29 | Did a breastfeeding mother reduce the number of meals per day because of lockdown? | 1=Yes  2=No | | | |  |
| 3.30 | If you needed health services now, where would you go? | 1=Hospital in the city  2=Health posts  3=Will not go out  4= Doctors or nurses in my community will come to my place to help me  98=Don’t know | | | | If an interviewee responded that s/he would not go out, ask the question 3.31. If the respondent choose any of the options except 3, skip the question 3.31. |
| 3.31 | Why would you not go out to seek health services? | 1=Physical restrictions on movement  2=Fear of contamination  3=No money  4=No answer  5=Other reasons (specify) | | | |  |
| 3.32 | Is anyone in your household receiving a medical treatment now? | 1=Yes  2=No | | | |  |
| 3.33 | Does a household member have to go out for the medical treatment? | 1=Yes  2=No | | | | If no, ask the question 3.34. If ‘yes, skip the question 3.34. |
| 3.34 | Why does a household member not go out for medical treatment? | 1= At home: doctors/nurses visit home  2= At home: self-treatment/taking medications prescribed by a doctor  3=Physical restrictions on movement  4=Fear of contamination  5=No answer  6=Other reasons (specify) | | | | Select all that apply. |
| 3.35 | Do you have ORS for diarrhoea in your household? | 1=Yes  2=No | | | |  |
| 3.36 | Do you have paracetamol for flu/cold in your household? | 1=Yes  2=No | | | |  |
| 3.37 | Have your children become ill in the last month? | 1=Yes  2=No | | | | If, ‘yes’ ask the question 3.38 |
| 3.38 | Did you have to take your child/children to a hospital or a doctor? | 1=Yes  2=No | | | | If, ‘yes’ skip the question 3.39. |
| 3.39 | Why did you not take your child/children to a hospital? | 1= Doctors/nurses came home to treat the child/children  2= Caregiver treated the child/children independently  3=Physical restrictions on movement  4=Fear of contamination  5=No answer  6=Other reasons (specify) | | | |  |
| 3.40 | Is your child/children well now? | 1=Yes  2=No | | | | If ‘no’, ask the question 3.40 (a). |
|  | 3.40 (a) Do you need assistance in treatment of your child/children? | 1=Yes  2=No  3=Other (specify) | | | | Any answer to this question should be reported to the supervisor and UNICEF. |
| 3.41 | Is there anyone in your family who is pregnant now? | 1=Yes  2=No | | | | If ‘no, skip the questions 3.42, 3.43, 3.44, 3.45 and 3.46. |
| 3.42 | Does the pregnant household member have access to regular health services and ANC? | 1=Yes  2=No | | | | If ‘no’, ask the questions 3.43. If ‘yes, skip the question 3.43. |
| 3.43 | Why does the pregnant household member have no access to health services and ANC? | 1= Closed ANC services in Health Facilities due to lockdown  2=Health posts are closed  3= Absence of health insurance  4= Due to lack of money  5=Risk of transmission in Health Facility  6= Other reasons (specify) | | | |  |
| 3.44 | Will the pregnant household member deliver at home or go to a hospital? | 1=Deliver at home  2=Go to a hospital/ health facility  98=Don’t know | | | | If the respondent chooses the option 1, ask the question 3.45. |
| 3.45 | Could you please tell me why the pregnant household member must deliver at home? | 1 = Cannot go out due to physical restrictions  2= A hospital is too far away  3= Limited financial capacity  4= Other reasons (specify) | | | |  |

|  |  |
| --- | --- |
| **6** | **Interviewee’s experience and perceptions** |
| ***Instructions*** | **Randomly selected households will answer this part of the questionnaire.** |
| Now I will list words associated with social and economic situation:  1. Socialization and communication with family and friends  2. Job security  3. Food and water security  4. Safety of your family in your community  5. Health of your family  Which of these 5 aspects of your life was affected the most? Please explain your choice to me. | |
|  | |
| Thank you for your time to answer our questions. If it is still okay with you, we will follow up with you again next month. Your participation in this survey will remain voluntary. In the next round of the interview, you will also receive a cash top-up in your mobile account. You can refuse to participate at any time. This month, you may also receive an interactive voice response message. It will have some follow-up questions. The message will be free for you. We would be grateful for your participation.  7.1. Is it okay if we contact you with follow up questions? 1 = Yes, 2 = No 🡪 consent for follow-up given yes/no  7.2. We would like to continue to talk about you and your family over the next months. We wish to call you on the phone every month for 20-25 minutes. Again, all the information you provide will be confidential and anonymous.  Is it okay if we contact you next month to follow-up with the situation in your household? 1 = Yes, 2 = No 🡪 Consent for follow-up interview given yes/no  7.3. (If yes for 7.1 and/or 7.2) Is this the best number to reach you on or would you prefer us to call you on another number? 1 = Same Number, 2 = Other Number 🡪 Record other number. | |

|  |  |  |  |
| --- | --- | --- | --- |
| **ANNEX IV: Questionnaire for Female Health Workers** | | | |
| **#** | **Questions** | **Answers** | **Comments** |
| 1 | Are you aware about COVID 19? | 1=Yes  2=No |  |
| 2 | If yes, what is the source of the information | 1=Health workers  2=TV  3-Radio/FM  4=Relatives/Neighbours  5=Others |  |
| 3 | Are you involved in disseminating health messaging on COVID 19 in the current period | 1=Yes  2=No |  |
| 4 | Did anyone in the community contact you for information on COVID 19 in the last one month? | 1=Yes  2=No |  |
| 5 | If yes, what did you do? | 1=provided information  2=referred to the nearest health facility  3=Others |  |
| 6 | Have you received any guidance for work during the lockdown period? | 1=Yes  2=No |  |
| 7 | Did you receive any information/orientation/training on COVID 19? | 1=Yes  2=No |  |
| 8 | Did you provide regular services in last month? | 1=Yes  2= No |  |
| 9 | If yes, what services | 1=health education  2=counselling services  3=support in EPI clinic  4=support in campaigns  5=other |  |
| 10 | Did you conduct health mothers group meeting in the last one month? | 1=Yes  2= No |  |
| 11 | Did you conduct home visits in the last one month? | 1=Yes  2= No |  |
| 12 | If yes, how many visits? | 1=1-2  2=2-5  3=More than 5 |  |
| 13 | Did you participate in the FCHVs monthly meeting conducted at the HF in the last month | 1=Yes  2=No  3=HF did not conduct monthly meeting  3=Not Applicable |  |
| 14 | Is the Health Facility in your area providing regular health services? | 1=Yes  2=No  3=Only some services  4=Don’t know |  |
| 15 | Are there pregnant women in your community? | 1=Yes  2=No |  |
| 16 | Did they go for regular ANC check-ups? | 1=Yes  2=No |  |
| 17 | If no, why not | 1=Fear of COVID infection  2=Difficult to travel due to lockdown  3=Health Facility is not providing regular services  4=Others |  |
| 18 | Did any pregnant women deliver in the last month? | 1-Yes  2=No |  |
| 19 | If yes, where did they deliver their child? | 1=nearest health facility/hospital  2=private health center  3=home  4=others |  |
| 20 | Was there any maternal and child death in your community in the last month? | 1=Yes  2=No |  |
| 21 | Are you facing any issues/difficulty in providing service during this period? | 1=Yes  2=No |  |
| 22 | If yes, what kind of issues/difficulties? | 1=Difficulty to travel due to lockdown  2= Unclear guidance  3=Fear of infection  4=Others |  |

**ANNEX V. Interactive Voice Response (IVR)**

|  |  |  |
| --- | --- | --- |
| # | Questions | Answers |
| 1 | Has there recently been a time when your household did not have enough drinking water due to lockdown? | 1=Yes  2= No |
| 2 | Have you recently had difficulties getting water for cooking and handwashing due to lockdown? | 1=Yes  2= No |
| 3 | Do you feel stressed due to lockdown? | 1=Yes  2=No |
| 4 | Do your children spend time playing more or less due to lockdown? | 1=More  2=Less  3=Not at all  0=Don’t know |
| 5 | Do your children spend time sleeping more or less due to lockdown? | 1=More  2=Less  3=Not at all  0=Don’t know |
| 6 | Can your children still play with their friends? | 1=Yes  2=No |
| 7 | Did you have to resign from your job due to your fear of catching the virus? | 1=Yes  2=No |
| 8 | Are you afraid to go out to buy food, medications, and run errands due to your fear of catching the virus? | 1=Yes  2=No |
|  |  |  |

**Annex VI. Ethics and Response Plan**

***Ethics Principles***

**Interviewers must:**

* + Be considerate of interviewees’ concerns, but avoid giving advice
  + Be impartial and avoid conflict of interest
  + Do not suggest answers during the interviews
  + Respect every interviewee regardless age, caste, status, sex and everything else
  + Be patient when it takes interviewees a long time respond
  + Explain if an interviewee does not understand the questions
  + Ensure confidentiality of every interviewee by all means
  + Do not force interviewees to consent to participate in the interview.

Ethics is not just about the procedure but about being reflective about possible ethical issues within the local context, taking a step back, discussing, creating mitigation strategies and adjusting plans before heading into data collection.

Core ethical issues to consider and some suggested practices for remote data collection:

1. **Harms and benefits**

* A key ethical principle is for there to be clear benefits likely to arise from the evidence generation, and any harm to be avoided for participants, particularly when contacting vulnerable groups. Therefore, at the outset the question needs to be asked whether the remote survey will actually benefit the respondents and their communities. If not, consideration must be given to the modification (considering secondary data sources) or withdrawal of the data collection.
* This becomes even more critical when contacting people using their personal data, like phone numbers, for reasons that have nothing to do with the intended purpose for which these phone numbers were originally provided. For example, if phone numbers of participants in existing programmes are considered as a source of contact information for a remote survey that is unrelated to the programme, the purpose for using it needs to be well justified and consent clearly requested when contacting these persons, respecting any decision not to participate or requests that their numbers not be used for these purposes. Compliance with any legal/privacy conditions related to the use of personal data as stipulated by the terms and conditions of the programme is requisite.
* Every question asked needs to have a clear purpose to contribute to intended benefit and avoid duplication of evidence, particularly given the current stressful situation respondents may be in. Keep surveys short and limit the data collected to what is absolutely necessary.

1. **Personal and sensitive information**

* Be cautious about asking potentially sensitive personal information or questions without clear purpose of use and benefit, especially since one cannot observe or control privacy conditions when asking questions through remote means, and the COVID-19 response measures may significantly negatively impact privacy.
* Protocols facilitating referral need to be in place when protection issues come up during phone surveys, similar to face-to-face surveys. In the case of sms/online/IVR surveys referral contact details can be mentioned at the end of the survey. **Where support services or helplines are not available or unlikely to be reachable given demand, sensitive questions should not be asked.**

1. **Privacy and confidentiality**

* When drawing on lists with contact details of participants in existing programmes, separate, if possible, the phone numbers from other identification data (e.g. names), and sample and conduct the survey based on de-identified data sets.
* If a panel survey would be valuable and more identifiable data is required, explicit consent should then be asked for follow up interviews, with clear indications of the nature, duration and frequency of these.
* Phone/sms/IVR surveys may be based on random selection of phone numbers of publicly available lists (or using a random phone number generator). These will likely be de-identified. Wherever possible and appropriate, avoid collecting personally identifiable information during the data collection process. This also applies for online surveys.[[5]](#footnote-5)

1. **Informed consent**

* In respecting people’s rights, informed consent must be sought when using remote means similar to any other data collection among human subjects. Practical tips in case of phone surveys can be found [here](https://www.povertyactionlab.org/blog/3-20-20/best-practices-conducting-phone-surveys). When using social media for data collection, the [Innocenti Research Brief on Ethical Considerations in Using Social Media for Evidence Generation](https://unicef.sharepoint.com/sites/OoR-EEG/DocumentLibrary1/SOCIAL%20MEDIA%20IRB-%20E-FINAL.pdf?csf=1&e=uAdUZS&cid=69339874-e770-44ff-b6c6-bc90d3c47bbe) includes useful ethical guidance.
* Respondents need to be able to opt out of data collection, skip questions they do not want to answer, and have their name removed from the database for any future survey. This needs to be made explicit when conducting phone interviews. SMS/IVR/Online surveys should also include such options.

1. **Other**

* Remote surveys can be prone to biases because some groups do not have access to the technology used, restricted sample frames or low response rates. Since accurately presenting findings is core to the integrity of the evidence generation, one should acknowledge that bias may be present and be clear about the potential lack of representativeness of the data and all potential limitations.
* Remote data collection via phones may have a financial cost for the respondents. Compensation therefore needs to be considered (e.g. via phone credit or mobile money).

***Reported cases of violent behaviour during interviews***

If a respondent reports a case of violence s/he witnessed or experienced, stay calm and impartial, and suggest contacting the following number:

**Contact hotline 1145 (National Women Commission) or dial 100 of Nepal Police.**

*Text:*

“This is indeed a very stressful time for everyone, and it is important that we remain in control and avoid further harm to each other. Do you know where you can seek help? Please call these numbers:

For Psychosocial Support: xx

To seek legal and other assistance: 1145 [National Women’s Commission]

To report to the police: 100

A question to ask **for provinces 2, 5,6 and 7:**

“Would you agree to have your contact details shared with people who may be able to refer you to relevant services?

**Child labour:**

I understand the challenges you are facing. It mustn’t have been an easy decision to take to have your children work. Have you approached your ward/ municipal authorities to seek solutions to the difficulties that you are currently facing?? Do you want to take their number and reach out to them for support?

You may also want to seek support from Child Helpline No. 1098.**”**

***Reported cases of psychological distress, question no. 4.17***

If a respondent chooses any of the multiple-choice options of the question 4.17, this signifies that children may need psychosocial support. Avoid giving any advice and read the following text and share the numbers:

* + You may seek the support of psychosocial counselors if you feel excessive stress from toll-free numbers:
    - **1660 010 2005** (working hours: 8 am to 6 pm)
    - **16600122322** (working hours: 11 am - 4 pm)

1. SG Report Socio-Economic Impact Source; ILO [↑](#footnote-ref-1)
2. <https://www.ilo.org/kathmandu/areasofwork/labour-migration/lang--en/index.htm> [↑](#footnote-ref-2)
3. National MPI 2018. [↑](#footnote-ref-3)
4. Sharecast Initiative and UNICEF databases [↑](#footnote-ref-4)
5. U-report data are anonymous in that the phone numbers are hidden from admin users. See [U-Report Key Principles](https://unicef.sharepoint.com/teams/OI/UReport/U-Report%20Key%20Principles.pdf). [↑](#footnote-ref-5)